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TRANSMITT **FORM** 

(to be used for all correspondence after initial filing)

Total Number of Pages in This

10/002,469 **Application Number** November 14, 2001 Filing Date First Named Inventor Kauffman, Marc W. Art Unit 2122 **Examiner Name** Not yet assigned Attorney Docket Number 019396-002100US

Submission				019396-00210003		
ENCLOSURES (Check all that apply)						
Fee Transmittal	Form	Drawing(s)		After Allowance Communication to Group		
Fee Attach	ed	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment/Rep	oly	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits/d	eclaration(s)	Power of Attorne	ey, Revocation espondence Address	Appeal Communication to Stout (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):		
Extension of Tim	ne Request	Terminal Disclai	imer	Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		Return Postcard Cited References:		
Fourth Supplemental Information Disclosure Statement				(1-US Patent Publication; 1-Foreign)		
Certified Copy of Priority Document(s)		Remarks	The Commissioner is Account 20-1430.	authorized to Deposit		
Response to Missing Parts/ Incomplete Application			l	DEC 2 2 2003		
Response to Missing Parts under 37 CFR 1.52 or 1.53				Technology Center 2100		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or		ownsend and Crew	LLP			
Individual Thomas D Franklin		klin	Reg. N	o. 43,616		
Signature	X )					
Date	December 16, 20	003				
CERTIFICATE OF TRANSMISSION/MAILING						

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Date

December 16, 2003

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December 16, 2007 TRANSMITTER

Attorney Docket No.: 019396-002100US Client Reference No.: D2755

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marc W. Kauffman

Application No.: 10/002,469

Filed: November 14, 2001

For: QUALITY OF SERVICE CONTROL OF STREAMED CONTENT DELIVERY

Examiner: Not yet assigned

Art Unit: 2122

**RECEIVED** 

DEC 2 2 2003

Technology Center 2100

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Marc W. Kauffman

Application No.: 10/002,469

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

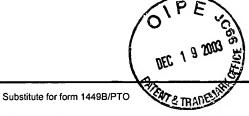
Respectfully submitted,

Thomas D. Franklin Reg. No. 43,616

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TDF:cmb



## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet

Complete if Known				
Application Number 10/002,469				
Filing Date	November 14, 2001			
First Named Inventor	Kauffman, Marc W.			
Art Unit	2122			
Examiner Name	Not yet assigned			
Attorney Docket Number	019396-002100US			

	U.S. PATENT DOCUMENTS+						
		Document Number					
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	For	eign Patent Documen  Number <sup>4</sup> Kind	t Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AK	PCT	WO 00/64174	A1 •	10-26-2000	DIVA SYSTEMS CORPORATION	riguies Appeal	
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	AM							
	AN							
	AO							

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
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	AS					
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Examiner	 Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.